



Customer No. 31013

Attorney Docket No. 057266-00002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Walter W. Borden, et al.

Serial No.: 10/606,517

Filed: June 25, 2003

For: SYSTEM AND METHOD FOR ONLINE MONITORING OF AND  
INTERACTION WITH CHAT AND INSTANT MESSAGING  
PARTICIPANTS

Group Art Unit: 2154

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on  
November 18, 2003.

Signature: 

Aaron S. Haleva, Kramer Levin Naftalis & Frankel LLP

**INFORMATION DISCLOSURE STATEMENT**

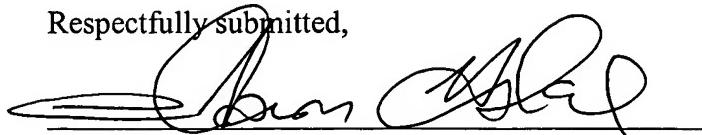
Applicant respectfully submits this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in order to comply with the duty of disclosure under 37 C.F.R. § 1.56. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The above papers are being submitted prior to the receipt of an Office Action on the merits. Accordingly, although no fee is believed to be due under 37 C.F.R. § 1.17(p) in connection with the filing of this Information Disclosure Statement, the Commissioner is hereby authorized to

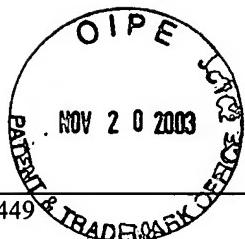
charge any fee(s) deemed necessary to Deposit Account No. 50-0540. Early and favorable action is earnestly solicited.

Dated: November 18, 2003

Respectfully submitted,



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Based on Form PTO-1449 (3/90)				ATTY. DOCKET NO. 057266-00002	SERIAL NO. To be assigned		
<b>LIST OF REFERENCES CITED BY APPLICANT</b> (Use several sheets if necessary)				<b>APPLICANTS</b> Borden et al.			
				FILING DATE June 25, 2003	GROUP ART UNIT to be assigned		
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
							YES
							NO
<b>OTHER REFERENCES</b>							
	CA	A. Meehan et al., "Packet Sniffing for Automated Chat Room Monitoring and Evidence Preservation," Proceedings of the 2001 IEEE, Workshop on Information and Security, United States Military Academy, West Point, NY 5-6 June 2001					
	CB	Olly Downs, Hopfield Group, "Learning Models for Continuous Nonnegative Data," April 18, 2000					
	CC	Testimony of Dan Jude, President, Security Software Systems, Inc. before the COPA Commission, August 4, 2000, San Jose, CA					
	CD						
	CE						
	CF						
EXAMINER			DATE CONSIDERED				
<b>* EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							